

**RESOLUTION NO. 2014-072**

**A RESOLUTION OF THE CITY COUNCIL OF THE  
CITY OF SAN BUENAVENTURA UPDATING THE  
CONFLICT OF INTEREST CODE OF THE CITY OF  
SAN BUENAVENTURA AND RESCINDING  
RESOLUTION NO. 2012-065**

BE IT RESOLVED by the City Council of the City of San Buenaventura as follows:

**SECTION 1:** The Political Reform Act, Government Code Section 81000, *et seq.*, requires state and local government agencies to adopt and promulgate conflict of interest codes.

**SECTION 2:** The Fair Political Practices Commission has adopted a regulation entitled, Title 2, Division 6 of the California Code of Regulations, Section 18730, which contains the terms of a standard Conflict of Interest Code. The Regulations can be incorporated and may be amended by the Fair Political Practices Commission after public notice and hearings to conform to amendments in the Political Reform Act.

**SECTION 3:** The City Council of the City of San Buenaventura determines and directs that:

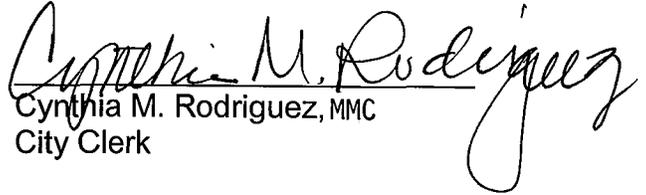
A. The terms of Title 2, Division 6 of the California Code of Regulations, Section 18730, which have been adopted by the Fair Political Practices Commission, are incorporated herein as Exhibit "A," along with Exhibit "B" in which officials and employees are designated, and Exhibit "C" in which disclosure categories are set forth, constitute the Conflict of Interest Code of the City of San Buenaventura.

B. The City Clerk is the filing official for the City Councilmembers, City Manager, City Attorney, Assistant Chief Financial Officer, and Planning Commissioners. For the persons holding these positions, the City Clerk accepts the filing, retains a copy, and forwards the original to the Fair Political Practices Commission in Sacramento. For all other persons holding designated positions, the City Clerk is the filing officer and retains the statements. The City Clerk will make the statements available for public inspection and reproduction as described in Government Code Section 81008.

C. When City positions are created, deleted, or retitled, the filing officer has authority to designate the positions as being subject to the code and designate the reporting category, or remove the positions accordingly.

**SECTION 4:** This Resolution shall take effect January 1, 2015, and rescinds Resolution No. 2012-065 as of that date.

**PASSED AND ADOPTED** this 1 day of December, 2014.

  
Cynthia M. Rodriguez, MMC  
City Clerk

APPROVED AS TO FORM

 11/18/2014  
Gregory G. Diaz Date  
City Attorney

## EXHIBIT "A"

1 Amend 2 Cal. Code of Regs. Section 18730 to read:

2 **§ 18730. Provisions of Conflict of Interest Codes.**

3 (a) Incorporation by reference of the terms of this regulation along with the designation  
4 of employees and the formulation of disclosure categories in the Appendix referred to below  
5 constitute the adoption and promulgation of a conflict of interest code within the meaning of  
6 Section 87300 or the amendment of a conflict of interest code within the meaning of Section  
7 87306 if the terms of this regulation are substituted for terms of a conflict of interest code  
8 already in effect. A code so amended or adopted and promulgated requires the reporting of  
9 reportable items in a manner substantially equivalent to the requirements of article 2 of chapter 7  
10 of the Political Reform Act, Sections 81000, et seq. The requirements of a conflict of interest  
11 code are in addition to other requirements of the Political Reform Act, such as the general  
12 prohibition against conflicts of interest contained in Section 87100, and to other state or local  
13 laws pertaining to conflicts of interest.

14 (b) The terms of a conflict of interest code amended or adopted and promulgated pursuant  
15 to this regulation are as follows:

16 (1) Section 1. Definitions.

17 The definitions contained in the Political Reform Act of 1974, regulations of the Fair  
18 Political Practices Commission (Regulations 18110, et seq.), and any amendments to the Act or  
19 regulations, are incorporated by reference into this conflict of interest code.

20 (2) Section 2. Designated Employees.

21 The persons holding positions listed in the Appendix are designated employees. It has  
22 been determined that these persons make or participate in the making of decisions which may  
23 foreseeably have a material effect on economic interests.

1 (3) Section 3. Disclosure Categories.

2 This code does not establish any disclosure obligation for those designated employees  
3 who are also specified in Section 87200 if they are designated in this code in that same capacity  
4 or if the geographical jurisdiction of this agency is the same as or is wholly included within the  
5 jurisdiction in which those persons must report their economic interests pursuant to article 2 of  
6 chapter 7 of the Political Reform Act, Sections 87200, et seq.

7 In addition, this code does not establish any disclosure obligation for any designated  
8 employees who are designated in a conflict of interest code for another agency, if all of the  
9 following apply:

10 (A) The geographical jurisdiction of this agency is the same as or is wholly included  
11 within the jurisdiction of the other agency;

12 (B) The disclosure assigned in the code of the other agency is the same as that required  
13 under article 2 of chapter 7 of the Political Reform Act, Section 87200; and

14 (C) The filing officer is the same for both agencies.<sup>1</sup>

15 Such persons are covered by this code for disqualification purposes only. With respect to  
16 all other designated employees, the disclosure categories set forth in the Appendix specify which  
17 kinds of economic interests are reportable. Such a designated employee shall disclose in his or  
18 her statement of economic interests those economic interests he or she has which are of the kind  
19 described in the disclosure categories to which he or she is assigned in the Appendix. It has been  
20 determined that the economic interests set forth in a designated employee's disclosure categories  
21 are the kinds of economic interests which he or she foreseeably can affect materially through the  
22 conduct of his or her office.

23 (4) Section 4. Statements of Economic Interests: Place of Filing.

1 The code reviewing body shall instruct all designated employees within its code to file  
2 statements of economic interests with the agency or with the code reviewing body, as provided  
3 by the code reviewing body in the agency's conflict of interest code.<sup>2</sup>

4 (5) Section 5. Statements of Economic Interests: Time of Filing.

5 (A) Initial Statements. All designated employees employed by the agency on the  
6 effective date of this code, as originally adopted, promulgated and approved by the code  
7 reviewing body, shall file statements within 30 days after the effective date of this code.  
8 Thereafter, each person already in a position when it is designated by an amendment to this code  
9 shall file an initial statement within 30 days after the effective date of the amendment.

10 (B) Assuming Office Statements. All persons assuming designated positions after the  
11 effective date of this code shall file statements within 30 days after assuming the designated  
12 positions, or if subject to State Senate confirmation, 30 days after being nominated or appointed.

13 (C) Annual Statements. All designated employees shall file statements no later than  
14 April 1. If a person reports for military service as defined in the Servicemember's Civil Relief  
15 Act, the deadline for the annual statement of economic interests is 30 days following his or her  
16 return to office, provided the person, or someone authorized to represent the person's interests,  
17 notifies the filing officer in writing prior to the applicable filing deadline that he or she is subject  
18 to that federal statute and is unable to meet the applicable deadline, and provides the filing  
19 officer verification of his or her military status.

20 (D) Leaving Office Statements. All persons who leave designated positions shall file  
21 statements within 30 days after leaving office.

22 (5.5) Section 5.5. Statements for Persons Who Resign Prior to Assuming Office. Any  
23 person who resigns within 12 months of initial appointment, or within 30 days of the date of

1 notice provided by the filing officer to file an assuming office statement, is not deemed to have  
2 assumed office or left office, provided he or she did not make or participate in the making of, or  
3 use his or her position to influence any decision and did not receive or become entitled to receive  
4 any form of payment as a result of his or her appointment. Such persons shall not file either an  
5 assuming or leaving office statement.

6 (A) Any person who resigns a position within 30 days of the date of a notice from the  
7 filing officer shall do both of the following:

8 (1) File a written resignation with the appointing power; and

9 (2) File a written statement with the filing officer declaring under penalty of perjury that  
10 during the period between appointment and resignation he or she did not make, participate in the  
11 making, or use the position to influence any decision of the agency or receive, or become entitled  
12 to receive, any form of payment by virtue of being appointed to the position.

13 (6) Section 6. Contents of and Period Covered by Statements of Economic Interests.

14 (A) Contents of Initial Statements.

15 Initial statements shall disclose any reportable investments, interests in real property and  
16 business positions held on the effective date of the code and income received during the 12  
17 months prior to the effective date of the code.

18 (B) Contents of Assuming Office Statements.

19 Assuming office statements shall disclose any reportable investments, interests in real  
20 property and business positions held on the date of assuming office or, if subject to State Senate  
21 confirmation or appointment, on the date of nomination, and income received during the 12  
22 months prior to the date of assuming office or the date of being appointed or nominated,  
23 respectively.

1 (C) Contents of Annual Statements. Annual statements shall disclose any reportable  
2 investments, interests in real property, income and business positions held or received during the  
3 previous calendar year provided, however, that the period covered by an employee's first annual  
4 statement shall begin on the effective date of the code or the date of assuming office whichever  
5 is later, or for a board or commission member subject to Section 87302.6, the day after the  
6 closing date of the most recent statement filed by the member pursuant to Regulation 18754.

7 (D) Contents of Leaving Office Statements.

8 Leaving office statements shall disclose reportable investments, interests in real property,  
9 income and business positions held or received during the period between the closing date of the  
10 last statement filed and the date of leaving office.

11 (7) Section 7. Manner of Reporting.

12 Statements of economic interests shall be made on forms prescribed by the Fair Political  
13 Practices Commission and supplied by the agency, and shall contain the following information:

14 (A) Investment and Real Property Disclosure.

15 When an investment or an interest in real property<sup>3</sup> is required to be reported,<sup>4</sup> the  
16 statement shall contain the following:

- 17 1. A statement of the nature of the investment or interest;
- 18 2. The name of the business entity in which each investment is held, and a general  
19 description of the business activity in which the business entity is engaged;
- 20 3. The address or other precise location of the real property;
- 21 4. A statement whether the fair market value of the investment or interest in real property  
22 equals or exceeds \$2,000, exceeds \$10,000, exceeds \$100,000, or exceeds \$1,000,000.

1 (B) Personal Income Disclosure. When personal income is required to be reported,<sup>5</sup> the  
2 statement shall contain:

3 1. The name and address of each source of income aggregating \$500 or more in value, or  
4 \$50 or more in value if the income was a gift, and a general description of the business activity,  
5 if any, of each source;

6 2. A statement whether the aggregate value of income from each source, or in the case of  
7 a loan, the highest amount owed to each source, was \$1,000 or less, greater than \$1,000, greater  
8 than \$10,000, or greater than \$100,000;

9 3. A description of the consideration, if any, for which the income was received;

10 4. In the case of a gift, the name, address and business activity of the donor and any  
11 intermediary through which the gift was made; a description of the gift; the amount or value of  
12 the gift; and the date on which the gift was received;

13 5. In the case of a loan, the annual interest rate and the security, if any, given for the loan  
14 and the term of the loan.

15 (C) Business Entity Income Disclosure. When income of a business entity, including  
16 income of a sole proprietorship, is required to be reported,<sup>6</sup> the statement shall contain:

17 1. The name, address, and a general description of the business activity of the business  
18 entity;

19 2. The name of every person from whom the business entity received payments if the  
20 filer's pro rata share of gross receipts from such person was equal to or greater than \$10,000.

21 (D) Business Position Disclosure. When business positions are required to be reported, a  
22 designated employee shall list the name and address of each business entity in which he or she is  
23 a director, officer, partner, trustee, employee, or in which he or she holds any position of

1 management, a description of the business activity in which the business entity is engaged, and  
2 the designated employee's position with the business entity.

3 (E) Acquisition or Disposal During Reporting Period. In the case of an annual or leaving  
4 office statement, if an investment or an interest in real property was partially or wholly acquired  
5 or disposed of during the period covered by the statement, the statement shall contain the date of  
6 acquisition or disposal.

7 (8) Section 8. Prohibition on Receipt of Honoraria.

8 (A) No member of a state board or commission, and no designated employee of a state or  
9 local government agency, shall accept any honorarium from any source, if the member or  
10 employee would be required to report the receipt of income or gifts from that source on his or her  
11 statement of economic interests. This section shall not apply to any part-time member of the  
12 governing board of any public institution of higher education, unless the member is also an  
13 elected official.

14 Subdivisions (a), (b), and (c) of Section 89501 shall apply to the prohibitions in this  
15 section.

16 This section shall not limit or prohibit payments, advances, or reimbursements for travel  
17 and related lodging and subsistence authorized by Section 89506.

18 (8.1) Section 8.1. Prohibition on Receipt of Gifts in Excess of \$440 [amount to be  
19 determined].

20 (A) No member of a state board or commission, and no designated employee of a state or  
21 local government agency, shall accept gifts with a total value of more than \$440 [amount to be  
22 determined] in a calendar year from any single source, if the member or employee would be  
23 required to report the receipt of income or gifts from that source on his or her statement of

1 economic interests. This section shall not apply to any part-time member of the governing board  
2 of any public institution of higher education, unless the member is also an elected official.

3 Subdivisions (e), (f), and (g) of Section 89503 shall apply to the prohibitions in this  
4 section.

5 (8.2) Section 8.2. Loans to Public Officials.

6 (A) No elected officer of a state or local government agency shall, from the date of his or  
7 her election to office through the date that he or she vacates office, receive a personal loan from  
8 any officer, employee, member, or consultant of the state or local government agency in which  
9 the elected officer holds office or over which the elected officer's agency has direction and  
10 control.

11 (B) No public official who is exempt from the state civil service system pursuant to  
12 subdivisions (c), (d), (e), (f), and (g) of Section 4 of Article VII of the Constitution shall, while  
13 he or she holds office, receive a personal loan from any officer, employee, member, or consultant  
14 of the state or local government agency in which the public official holds office or over which  
15 the public official's agency has direction and control. This subdivision shall not apply to loans  
16 made to a public official whose duties are solely secretarial, clerical, or manual.

17 (C) No elected officer of a state or local government agency shall, from the date of his or  
18 her election to office through the date that he or she vacates office, receive a personal loan from  
19 any person who has a contract with the state or local government agency to which that elected  
20 officer has been elected or over which that elected officer's agency has direction and control.  
21 This subdivision shall not apply to loans made by banks or other financial institutions or to any  
22 indebtedness created as part of a retail installment or credit card transaction, if the loan is made

1 or the indebtedness created in the lender's regular course of business on terms available to  
2 members of the public without regard to the elected officer's official status.

3 (D) No public official who is exempt from the state civil service system pursuant to  
4 subdivisions (c), (d), (e), (f), and (g) of Section 4 of Article VII of the Constitution shall,  
5 while he or she holds office, receive a personal loan from any person who has a contract with the  
6 state or local government agency to which that elected officer has been elected or over which  
7 that elected officer's agency has direction and control. This subdivision shall not apply to loans  
8 made by banks or other financial institutions or to any indebtedness created as part of a retail  
9 installment or credit card transaction, if the loan is made or the indebtedness created in the  
10 lender's regular course of business on terms available to members of the public without regard to  
11 the elected officer's official status. This subdivision shall not apply to loans made to a public  
12 official whose duties are solely secretarial, clerical, or manual.

13 (E) This section shall not apply to the following:

14 1. Loans made to the campaign committee of an elected officer or candidate for elective  
15 office.

16 2. Loans made by a public official's spouse, child, parent, grandparent, grandchild,  
17 brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first  
18 cousin, or the spouse of any such persons, provided that the person making the loan is not acting  
19 as an agent or intermediary for any person not otherwise exempted under this section.

20 3. Loans from a person which, in the aggregate, do not exceed ~~five hundred~~  
21 ~~dollars (\$500)~~ \$500 at any given time.

22 4. Loans made, or offered in writing, before January 1, 1998.

23 (8.3) Section 8.3. Loan Terms.

1 (A) Except as set forth in subdivision (B), no elected officer of a state or local  
2 government agency shall, from the date of his or her election to office through the date  
3 he or she vacates office, receive a personal loan of \$500 or more, except when the loan is in  
4 writing and clearly states the terms of the loan, including the parties to the loan agreement, date  
5 of the loan, amount of the loan, term of the loan, date or dates when payments shall be due on the  
6 loan and the amount of the payments, and the rate of interest paid on the loan.

7 (B) This section shall not apply to the following types of loans:

8 1. Loans made to the campaign committee of the elected officer.

9 2. Loans made to the elected officer by his or her spouse, child, parent, grandparent,  
10 grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt,  
11 uncle, or first cousin, or the spouse of any such person, provided that the person making the loan  
12 is not acting as an agent or intermediary for any person not otherwise exempted under this  
13 section.

14 3. Loans made, or offered in writing, before January 1, 1998.

15 (C) Nothing in this section shall exempt any person from any other provision of Title 9 of  
16 the Government Code.

17 (8.4) Section 8.4. Personal Loans.

18 (A) Except as set forth in subdivision (B), a personal loan received by any designated  
19 employee shall become a gift to the designated employee for the purposes of this section in the  
20 following circumstances:

21 1. If the loan has a defined date or dates for repayment, when the statute of limitations  
22 for filing an action for default has expired.

1           2. If the loan has no defined date or dates for repayment, when one year has elapsed from  
2 the later of the following:

3           a. The date the loan was made.

4           b. The date the last payment of \$100 or more was made on the loan.

5           c. The date upon which the debtor has made payments on the loan aggregating to less  
6 than \$250 during the previous 12 months.

7           (B) This section shall not apply to the following types of loans:

8           1. A loan made to the campaign committee of an elected officer or a candidate for  
9 elective office.

10          2. A loan that would otherwise not be a gift as defined in this title.

11          3. A loan that would otherwise be a gift as set forth under subdivision (A), but on which  
12 the creditor has taken reasonable action to collect the balance due.

13          4. A loan that would otherwise be a gift as set forth under subdivision (A), but on which  
14 the creditor, based on reasonable business considerations, has not undertaken collection action.

15          Except in a criminal action, a creditor who claims that a loan is not a gift on the basis of this  
16 paragraph has the burden of proving that the decision for not taking collection action was based  
17 on reasonable business considerations.

18          5. A loan made to a debtor who has filed for bankruptcy and the loan is ultimately  
19 discharged in bankruptcy.

20          (C) Nothing in this section shall exempt any person from any other provisions of Title 9  
21 of the Government Code.

22          (9) Section 9. Disqualification.

1 No designated employee shall make, participate in making, or in any way attempt to use  
2 his or her official position to influence the making of any governmental decision which he or she  
3 knows or has reason to know will have a reasonably foreseeable material financial effect,  
4 distinguishable from its effect on the public generally, on the official or a member of his or her  
5 immediate family or on:

6 (A) Any business entity in which the designated employee has a direct or indirect  
7 investment worth \$2,000 or more;

8 (B) Any real property in which the designated employee has a direct or indirect interest  
9 worth \$2,000 or more;

10 (C) Any source of income, other than gifts and other than loans by a commercial lending  
11 institution in the regular course of business on terms available to the public without regard to  
12 official status, aggregating \$500 or more in value provided to, received by or promised to the  
13 designated employee within 12 months prior to the time when the decision is made;

14 (D) Any business entity in which the designated employee is a director, officer, partner,  
15 trustee, employee, or holds any position of management; or

16 (E) Any donor of, or any intermediary or agent for a donor of, a gift or gifts  
17 aggregating \$440 [amount to be determined] or more provided to, received by, or promised to  
18 the designated employee within 12 months prior to the time when the decision is made.

19 (9.3) Section 9.3. Legally Required Participation.

20 No designated employee shall be prevented from making or participating in the making  
21 of any decision to the extent his or her participation is legally required for the decision to be  
22 made. The fact that the vote of a designated employee who is on a voting body is needed to break  
23 a tie does not make his or her participation legally required for purposes of this section.

1 (9.5) Section 9.5. Disqualification of State Officers and Employees.

2 In addition to the general disqualification provisions of section 9, no state administrative  
3 official shall make, participate in making, or use his or her official position to influence any  
4 governmental decision directly relating to any contract where the state administrative official  
5 knows or has reason to know that any party to the contract is a person with whom the state  
6 administrative official, or any member of his or her immediate family has, within 12 months  
7 prior to the time when the official action is to be taken:

8 (A) Engaged in a business transaction or transactions on terms not available to members  
9 of the public, regarding any investment or interest in real property; or

10 (B) Engaged in a business transaction or transactions on terms not available to members  
11 of the public regarding the rendering of goods or services totaling in value \$1,000 or more.

12 (10) Section 10. Disclosure of Disqualifying Interest.

13 When a designated employee determines that he or she should not make a governmental  
14 decision because he or she has a disqualifying interest in it, the determination not to act may be  
15 accompanied by disclosure of the disqualifying interest.

16 (11) Section 11. Assistance of the Commission and Counsel.

17 Any designated employee who is unsure of his or her duties under this code may request  
18 assistance from the Fair Political Practices Commission pursuant to Section 83114 and  
19 Regulations 18329 and 18329.5 or from the attorney for his or her agency, provided that nothing  
20 in this section requires the attorney for the agency to issue any formal or informal opinion.

21 (12) Section 12. Violations.

22 This code has the force and effect of law. Designated employees violating any provision.  
23 of this code are subject to the administrative, criminal and civil sanctions provided in the

1 Political Reform Act, Sections 81000-91014. In addition, a decision in relation to which a  
2 violation of the disqualification provisions of this code or of Section 87100 or 87450 has  
3 occurred may be set aside as void pursuant to Section 91003.

4 \_\_\_\_\_  
5 <sup>1</sup> Designated employees who are required to file statements of economic interests under any  
6 other agency's conflict of interest code, or under article 2 for a different jurisdiction, may expand  
7 their statement of economic interests to cover reportable interests in both jurisdictions, and file  
8 copies of this expanded statement with both entities in lieu of filing separate and distinct  
9 statements, provided that each copy of such expanded statement filed in place of an original is  
10 signed and verified by the designated employee as if it were an original. See Section 81004.

11 <sup>2</sup> See Section 81010 and Regulation 18115 for the duties of filing officers and persons in  
12 agencies who make and retain copies of statements and forward the originals to the filing officer.

13 <sup>3</sup> For the purpose of disclosure only (not disqualification), an interest in real property does not  
14 include the principal residence of the filer.

15 <sup>4</sup> Investments and interests in real property which have a fair market value of less than \$2,000  
16 are not investments and interests in real property within the meaning of the Political Reform Act.  
17 However, investments or interests in real property of an individual include those held by the  
18 individual's spouse and dependent children as well as a pro rata share of any investment or  
19 interest in real property of any business entity or trust in which the individual, spouse and  
20 dependent children own, in the aggregate, a direct, indirect or beneficial interest of 10 percent or  
21 greater.

1 <sup>5</sup> A designated employee's income includes his or her community property interest in the income  
2 of his or her spouse but does not include salary or reimbursement for expenses received from a  
3 state, local or federal government agency.

4 <sup>6</sup> Income of a business entity is reportable if the direct, indirect or beneficial interest of the filer  
5 and the filer's spouse in the business entity aggregates a 10 percent or greater interest. In  
6 addition, the disclosure of persons who are clients or customers of a business entity is required  
7 only if the clients or customers are within one of the disclosure categories of the filer.

8 Note: Authority cited: Section 83112, Government Code. Reference: Sections 87103(e), 87300-  
9 87302, 89501, 89502 and 89503, Government Code.

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**EXHIBIT "B"**

**LIST OF DESIGNATED POSITIONS**

**POSITION**

**DISCLOSURE CATEGORY**

Councilmembers/Successor Agency Members/  
Public Facilities Financing Authority Members

As required by State Law

City Manager

"

City Attorney

"

Oversight Board of the Successor Agency to dissolved Redevelopment Agency

"

Planning Commission Members

"

Acting Positions

Subject to the same disclosure requirements as the position in which the person is "acting."

Consultants

As Designated by the City Attorney and City Clerk.

Finance and Technology Director  
(Public official who directly manages public investments)

As required by State law

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**City Attorney**

**Staff Positions:**

Assistant City Attorney I/II	1
Paralegal	2
Senior Assistant City Attorney-Litigation	1

**Members of the following Council Advisory Groups:**

Charter Review Committee	4
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**City Manager**

**Staff Positions:**

Assistant City Manager	1
City Clerk	1
Deputy City Clerk	2
Economic Development Manager	1
Senior Management Analyst	1
Senior Planner	2

**Community Development**

**Staff Positions:**

Assistant Planner	2
Associate Planner	2

**DEPARTMENT****DISCLOSURE CATEGORY****Community Development****Staff Positions (Contd.):**

Building Inspection Supervisor	2
Building Inspector	3
Chief Building Official	1
Civil Engineer	2
Construction Permits Supervisor	2
Community Development Director	1
Community Services Manager	2
Electrical Inspector	3
Management Analyst I/II	2
Management Technician	2
Neighborhood Preservation Supervisor	2
Planning Assistant	3
Planning Manager	1
Planning Technician	3
Plans Examiner	2
Plumbing/Mechanical Inspector	3

**DEPARTMENT****DISCLOSURE CATEGORY****Community Development****Staff Positions (Contd.):**

Principal Planner	2
Preservation Services Technician	2
Project Manager	1
Senior Building Inspector	3
Senior Code/Fire Inspector	3
Senior Construction Inspector	3
Senior Planner	2

**Members of the following Council Advisory Groups:**

Design Review Committee	4
Historic Preservation Committee	4
Local (Construction) Appeals Board	4

**Finance and Technology****Staff Positions:**

Accountant I/II	2
Accounting Manager	2
Assistant Finance and Technology Director	1
Finance and Technology Director	1
Enterprise Systems Development Supervisor	2
Information Technology Manager	1
Network Administrator	2

**DEPARTMENT****DISCLOSURE CATEGORY****Finance and Technology****Staff Positions (Contd.):**

Systems Support Technician I/II	2
Principal Financial Analyst	2
Print Services Supervisor	2
Purchasing and Contracts Manager	1
Senior Accountant	2
Senior Buyer	2
Senior Financial Analyst	2
Systems Analyst I/II	2
Systems Specialist	2
Telecommunications Specialist	2
Treasury Supervisor	2

**Members of the following Council Advisory Groups:**

Mobile Home Rent Review Board	4
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**Fire****Staff Positions:**

Assistant Fire Chief	1
Emergency Medical Services Coordinator	2
Fire Battalion Chief	1

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Fire**

**Staff Positions (Contd.):**

Fire Chief	1
Fire Marshal	1
Fire Prevention Specialist	3
Preservation Services Technician	3
Hazardous Materials Specialist	3
Management Analyst II	2
Senior Code/Fire Inspector	3

**Human Resources**

**Staff Positions:**

Human Resources Director	1
Principal Human Resources Analyst I/II	2
Risk Manager	1

**Parks, Recreation, and Community Partnerships**

**Staff Positions:**

Aquatics Supervisor	2
Community Services Manager	1
Community Services Supervisor	2
Graphics Supervisor	2

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Parks, Recreation, and Community Partnerships**

**Staff Positions (Contd.):**

Management Analyst I/II	2
Parks Manager	1
Parks, Recreation, and Community Partnerships Director	1
Public Works Supervisor	2
Senior Civic Engagement Specialist	2
Senior Landscape Inspector	3

**Members of the following Council Advisory Groups**

Cultural Affairs Commission	4
Library Advisory Commission	4
Parks and Recreation Commission	4
Public Art Commission	4
Tree Advisory Committee	4

**Police**

**Staff Positions:**

Assistant Police Chief	1
Business Services Officer	2
Crime Analyst	2
Police Chief	1
Police Commander	1

**DEPARTMENT****DISCLOSURE CATEGORY****Police****Staff Positions: (Contd.):**

Police Records Supervisor	2
Systems Specialist	2

**Public Works****Staff Positions:**

Assistant Engineer (Civil/Traffic)	2
Associate Engineer (Civil/Traffic)	2
City Transportation Manager	1
Environmental Services Manager	2
Environmental Services Supervisor	2
Fleet and Facilities Manager	1
Laboratory Supervisor	2
Landscape Inspector	3
Management Analyst I/II	2
Management Technician	2
Principal Civil Engineer	1
Public Works Director	1
Public Works Supervisor	2
Senior Civil Engineer	2
Senior Construction Inspector	3
Senior Environmental Compliance Inspector	3

**DEPARTMENT**

**DISCLOSURE CATEGORY**

**Public Works**

**Staff Positions: (Contd.):**

Surveyor	3
Senior Management Analyst	2

**Members of the following Council Advisory Groups:**

Downtown Parking Advisory Committee	4
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**Ventura Water**

**Staff Positions:**

Assistant General Manager - Water	1
Ventura Water General Manager	1
Laboratory Supervisor	2
Principal Civil Engineer	1
Wastewater Maintenance Supervisor	2
Wastewater Plant Supervisor	2
Wastewater Utility Manager	1
Water Distribution Supervisor	2
Water Production Supervisor	2
Water Resources Account Supervisor	2
Water Treatment/Production Supervisor	2
Water Utility Manager	1

# EXHIBIT "C"

## DISCLOSURE CATEGORIES

### GENERAL PROVISIONS

Designated employees or individuals shall disclose their financial interest pursuant to the appropriate disclosure category as indicated in Exhibit A.

### DEFINITIONS

1. "CITY" shall mean the City of San Buenaventura.
2. "DEPARTMENT" shall mean one of the following to which the designated employee is assigned: City Attorney's Office, City Manager's Office, Community Development Department, Parks, Recreation, and Community Partnerships Department, Finance and Technology Department, Fire Department, Human Resources Department, Police Department, Public Works Department, or Ventura Water Department.
3. "DIVISION" shall mean one of the functional program areas of the Department to which the designated employee is assigned, except Division shall mean the same as Department for designated employees of the Fire Department.

### DISCLOSURE CATEGORIES

Category 1: All interests in real property as well as investments, business positions, sources of income, and gifts from any source in, or doing business in, the jurisdiction of the CITY, and all other interests, which are subject to the regulation or supervision of the City.

Category 2: All interests in real property located within the CITY. Investments, business positions in business entities, income, and gifts from sources in, or doing business within the CITY which:

1. Are of the type which engages in the appraisal, acquisition, disposal, development of real property, or rehabilitation or construction of improvements on real property including architects, contractors, and subcontractors.
2. Are of the type which provides services, supplies, materials, machinery, or equipment of any type utilized by the CITY DEPARTMENT to which the employee is assigned.
3. Are of the type which is subject to the regulation or supervision of the CITY.

Category 3: All interests in real property located within the CITY. Investments, business positions in business entities, income, and gifts from sources in, or doing business within the CITY which:

1. Are of the type which engages in the appraisal, acquisition, disposal, development of real property, or rehabilitation or construction of improvements on real property including architects, contractors, and subcontractors.

2. Are of the type which is subject to the regulation or supervision of the DIVISION.

Category 4: All interests in real property located within the CITY. Investments, business positions in business entities, income, and gifts from sources in, or doing business within the CITY, and all other interests which are subject to the regulation or supervision of the BOARD/COMMISSION/COMMITTEE.

Category 5: The City Clerk, after consultation with the City Attorney, shall designate the disclosure category for each consultant subject to this Code.

STATE OF CALIFORNIA )  
COUNTY OF VENTURA ) ss  
CITY OF SAN BUENAVENTURA )

I, Roxanne Fiorillo, Deputy City Clerk of the City of San Buenaventura, California, certify that the foregoing Resolution was passed and adopted by the City Council of the City of San Buenaventura at a regular meeting on December 1, 2014, by the following vote:

AYES: Councilmembers Morehouse, Weir, Tracy, Andrews,  
Monahan, Deputy Mayor Nasarenko, and Mayor Heitmann.

NOES: None.

ABSENT: None.

IN WITNESS WHEREOF, I have set my hand and affixed the seal of the City of San Buenaventura on December 2, 2014.

  
Deputy City Clerk

